2015 REPORT ON RESULTS
An analysis of investments in research infrastructure
ABOUT THE CANADA FOUNDATION FOR INNOVATION

Created by the Government of Canada in 1997, the Canada Foundation for Innovation (CFI) strives to build our nation’s capacity to undertake world-class research and technology development to benefit Canadians.

The CFI’s expected results are to enhance the capacity of institutions to:

- attract and retain the world’s top research talent;
- train the next generation of researchers;
- enable researchers to undertake world-class research and technology development that lead to social, economic and environmental benefits for Canada; and
- support private-sector innovation and commercialization.

Since its creation, the CFI has committed more than $6.6 billion in support of 9,111 projects at 145 research institutions in 70 municipalities across Canada (as of July 2015). For more information about the CFI, please visit http://www.innovation.ca.

THE REPORT ON RESULTS

The purpose of the Report on Results is to provide a summary of the outputs and outcomes achieved through CFI-funded infrastructure as they relate to the overall objectives of the CFI, based on information provided through annual Project Progress Reports (PPRs). The PPR is an online questionnaire which is completed by the project leader and submitted by the host institution. Institutions are required to submit a PPR for each funded project by June 30 each year, for up to five years after the infrastructure becomes operational. The data collected pertains only to the past year (CFI fiscal year April 1 to March 31). Data is self-reported, and not independently verified.

For information on the composition of the 2015 PPR sample, see the Appendix.
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93% of project leaders reported that CFI-funded infrastructure was important in their decision to stay at their institution. This demonstrates that infrastructure played a key role in the retention of some of Canada’s best researchers.

Importance of infrastructure in decision to stay at institution

Attracting and retaining world-class researchers
Among the 451 project leaders who submitted a report for the first time, 49% (219) reported that they were newly recruited to the institution. 98% of them stated that the availability of CFI-infrastructure was an important factor in their decision to join the institution.

![Importance of infrastructure in decision to join the institution]

Among newly recruited project leaders, 91% came from universities, colleges, or research hospitals.

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**Attracting and retaining world-class researchers**
Among 219 project leaders newly recruited to the institution, about half came from outside Canada with 58% of these international recruits coming from the United States. Of those from outside Canada, half were foreign citizens, suggesting CFI-funded infrastructure contributed to attracting international talent.

**Newly recruited project leaders**

- **Canada**: 111 (51%)
- **Foreign countries**: 108 (49%)

**Citizenship**

- **Foreign**: 56 (52%)
- **Canadian**: 52 (48%)
97% of project leaders reported that CFI-funded infrastructure was a key resource for the next generation of research leaders.

Types of trainees using infrastructure

26,508 post-doctoral fellows (PDFs) and higher education students had the opportunity to expand their research skills using CFI-funded infrastructure. Of those, 56% used the infrastructure for the first time.

50% of project leaders reported a total of 2,599 technical personnel who were trained for the first time last year on the use and maintenance of the infrastructure.

Developing highly qualified personnel
92% of project leaders credited their infrastructure with having a high or very high impact on the quality of the training environment.

Developing highly qualified personnel
Highly qualified personnel (HQP) who have trained on CFI-funded infrastructure support economic growth in Canada.

Employment in Canada by sector

1,936 post-doctoral fellows (PDFs) and graduate students using the infrastructure last year completed their training and moved into the workforce. Among them, a large proportion (77%) stayed in Canada, while the remaining 23% were reported as working abroad.
87% of project leaders reported that they had both adequate financial and human resources for the operation and maintenance (O & M) of the infrastructure.

**Sources of funds for O & M**

Use of diverse funding sources, including research contracts and user fees, contributes to the sustainability of the infrastructure.

*Capacity for world-class research*
FIGURE 8

Infrastructure quality & useful life

The quality of CFI-funded infrastructure was highly rated overall, with 87% of highly specialized research equipment reported as state-of-the-art.

Infrastructure quality and remaining years of useful life

Capacity for world-class research
The majority of project leaders reported full utilization of infrastructure. 84% of project leaders (1,514) reported at least one researcher at their institution using the infrastructure to advance their research while 61% of project leaders (1,090) reported at least one user from outside their institution.

### Types of infrastructure users

- **Outside institution**: 20,087 users
- **Local users**: 5,270
- **Provincial users**: 2,241
- **National users**: 2,784
- **International users**: 9,792

A recent analysis of PPR data over the past five fiscal years also demonstrated a consistent annual trend of full utilization (75%) of the CFI-funded infrastructure (CFI report: Usage of CFI-funded Infrastructure, 2015).

*Capacity for world-class research*
Sharing research results

As expected in an academic setting, conferences, symposiums and workshop presentations were the most frequently reported type of research output, closely followed by peer-reviewed publications.

Dissemination by area of application

Capacity for world-class research
Researchers have made use of infrastructure to enable external research collaborations that resulted in traditional academic activities and outputs such as funding applications and publications.

Types of external research collaborations

70% of project leaders reported at least one type of collaboration with individuals from outside their institution. Of those, 25% of project leaders reported all four types, suggesting CFI-funded infrastructure enables broad and varied collaboration.

Productive networks and collaborations
CFI-funded infrastructure facilitated new formal collaborative research agreements in 34% of projects, for a total of 2,380 agreements.

Agreements by type

- 210 consultancies (9%)
- 895 research contracts (38%)
- 1275 collaborative research agreements (53%)
From research to innovation

CFI-funded infrastructure has contributed to the development of new technologies and the creation of new companies.

- 165 provisional patents
- 82 patents granted
- 56 projects reported licensing agreements
- 49 spin-off companies

211 project leaders reported at least one of the above four types of innovation outcomes.

Economic growth and job creation
29% of project leaders reported one or more jobs created due to the CFI-funded infrastructure.

Just under three-quarters (73%) of all jobs created were within the host institutions. Just under two-thirds of the 414 jobs created outside the institution were in the private sector.

**Economic growth and job creation**
A range of benefits

45% of project leaders reported at least one type of benefit, highlighting the role of CFI-funded infrastructure in enabling research that produces outcomes for Canadians.

Types of benefits reported

- Non-invasive bone tumour treatment in children
- Robots that assist the elderly

Benefits for Canadians
Areas of impact and user groups

**Areas of impact**

Education/training, economic and public health were the most frequently reported areas of impact.

**User groups**

Professional or industrial associations and practitioners were reported as the primary beneficiaries of CFI-enabled research outcomes.

Benefits for Canadians
Challenges

The most frequently mentioned challenge by project leaders was funding/support for research operating costs.

Significant factors limiting research

Although issues related to highly qualified personnel (HQP) and the acquisition and updating of equipment were also identified as important challenges, 32% of project leaders reported that they had no significant limiting factors in conducting their research.
Composition of the 2015 Project Progress Report sample

1,815 expected

1,808 received

1,800 reports

excluded: 8 (not yet operational)

83 institutions included in the analysis

47% Health
19% Science
17% Engineering
10% Environment
7% Social sciences and humanities

Program*

IF 7%
JELF 93%

Other 0.167%

Project by $ awarded

<200K 67%
200K-1M 27%
1M-4M 3%
4M-10M 2%
>20M 1%
0.056%
10M-20M 1%

No. of projects

No. of reports

Reporting year
1 2 3 4 5


John R. Evans Leaders Fund (JELF) type: Leaders Opportunity Fund (LOF)–$1M to $2M; LOF–Canada Research Chair; LOF–NSERC; LOF–SSHRC; JELF–Funding for research infrastructure; JELF–Canada Excellence Research Chair, JELF–NSERC and Canada Research Chairs Infrastructure Fund.

Other programs: Research Hospital Fund (RHF)–Large Scale Institutional Endeavors, and RHF–Regional/National Clinical Research Initiatives.